

U.S. Department of Justice

Washington, DC 20530

Supplemental Statement**Pursuant to the Foreign Agents Registration Act of 1938, as amended**For Six Month Period Ending October 31, 2019

(Insert date)

I - REGISTRANT

1. (a) Name of Registrant

(b) Registration No.

Doug Schoen

6071

(c) Business Address(es) of Registrant

1111 Park Avenue
New York, NY 10028

2. Has there been a change in the information previously furnished in connection with the following?

(a) If an individual:

- | | | |
|---------------------------|------------------------------|--|
| (1) Residence address(es) | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (2) Citizenship | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |
| (3) Occupation | Yes <input type="checkbox"/> | No <input checked="" type="checkbox"/> |

(b) If an organization:

- | | | |
|--------------------------|------------------------------|-----------------------------|
| (1) Name | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (2) Ownership or control | Yes <input type="checkbox"/> | No <input type="checkbox"/> |
| (3) Branch offices | Yes <input type="checkbox"/> | No <input type="checkbox"/> |

(c) Explain fully all changes, if any, indicated in Items (a) and (b) above.

IF THE REGISTRANT IS AN INDIVIDUAL, OMIT RESPONSE TO ITEMS 3, 4, AND 5(a).3. If you have previously filed Exhibit C¹, state whether any changes therein have occurred during this 6 month reporting period.Yes ☐ No ☐If yes, have you filed an amendment to the Exhibit C? Yes ☐ No ☐

If no, please attach the required amendment.

¹ The Exhibit C, for which no printed form is provided, consists of a true copy of the charter, articles of incorporation, association, and by laws of a registrant that is an organization. (A waiver of the requirement to file an Exhibit C may be obtained for good cause upon written application to the Assistant Attorney General, National Security Division, U.S. Department of Justice, Washington, DC 20530.)

4. (a) Have any persons ceased acting as partners, officers, directors or similar officials of the registrant during this 6 month reporting period?

Yes ☐ No ☐

If yes, furnish the following information:

| Name | Position | Date Connection Ended |
|------|----------|-----------------------|
|------|----------|-----------------------|

(b) Have any persons become partners, officers, directors or similar officials during this 6 month reporting period?

Yes ☐ No ☐

If yes, furnish the following information:

| Name | Residence Address | Citizenship | Position | Date Assumed |
|------|-------------------|-------------|----------|--------------|
|------|-------------------|-------------|----------|--------------|

5. (a) Has any person named in Item 4(b) rendered services directly in furtherance of the interests of any foreign principal?

Yes ☐ No ☐

If yes, identify each such person and describe the service rendered.

(b) During this six month reporting period, has the registrant hired as employees or in any other capacity, any persons who rendered or will render services to the registrant directly in furtherance of the interests of any foreign principal(s) in other than a clerical or secretarial, or in a related or similar capacity? Yes ☐ No ☒

| Name | Residence Address | Citizenship | Position | Date Assumed |
|------|-------------------|-------------|----------|--------------|
|------|-------------------|-------------|----------|--------------|

(c) Have any employees or individuals, who have filed a short form registration statement, terminated their employment or connection with the registrant during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

| Name | Position or Connection | Date Terminated |
|------|------------------------|-----------------|
|------|------------------------|-----------------|

(d) Have any employees or individuals, who have filed a short form registration statement, terminated their connection with any foreign principal during this 6 month reporting period? Yes ☐ No ☒

If yes, furnish the following information:

| Name | Position or Connection | Foreign Principal | Date Terminated |
|------|------------------------|-------------------|-----------------|
|------|------------------------|-------------------|-----------------|

6. Have short form registration statements been filed by all of the persons named in Items 5(a) and 5(b) of the supplemental statement?

Yes ☐ No ☐

If no, list names of persons who have not filed the required statement.

II - FOREIGN PRINCIPAL

7. Has your connection with any foreign principal ended during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Foreign Principal

Date of Termination

No; however, Registrant wishes to proactively disclose that Registrant's representation of Victor Pinchuk terminated on November 6, 2019.

8. Have you acquired any new foreign principal(s)² during this 6 month reporting period? Yes ☐ No ☒
If yes, furnish the following information:

Name and Address of Foreign Principal(s)

Date Acquired

9. In addition to those named in Items 7 and 8, if any, list foreign principal(s)² whom you continued to represent during the 6 month reporting period.

Victor Pinchuk

10. (a) Have you filed exhibits for the newly acquired foreign principal(s), if any, listed in Item 8?

Exhibit A³ Yes ☐ No ☐Exhibit B⁴ Yes ☐ No ☐

If no, please attach the required exhibit.

- (b) Have there been any changes in the Exhibits A and B previously filed for any foreign principal whom you represented during this six month period? Yes ☐ No ☒

If yes, have you filed an amendment to these exhibits? Yes ☐ No ☐

If no, please attach the required amendment.

² The term "foreign principal" includes, in addition to those defined in Section 1(b) of the Act, an individual organization any of whose activities are directly or indirectly supervised, directed, controlled, financed, or subsidized in whole or in major part by a foreign government, foreign political party, foreign organization or foreign individual. (See Rule 100(a) (9)). A registrant who represents more than one foreign principal is required to list in the statements he files under the Act only those principals for whom he is not entitled to claim exemption under Section 3 of the Act. (See Rule 208.)

³ The Exhibit A, which is filed on Form NSD-3, sets forth the information required to be disclosed concerning each foreign principal.

⁴ The Exhibit B, which is filed on Form NSD-4, sets forth the information concerning the agreement or understanding between the registrant and the foreign principal.

III - ACTIVITIES

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11. During this 6 month reporting period, have you engaged in any activities for or rendered any services to any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, identify each foreign principal and describe in full detail your activities and services:

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12. During this 6 month reporting period, have you on behalf of any foreign principal engaged in political activity⁵ as defined below? Yes ☐ No ☒

If yes, identify each such foreign principal and describe in full detail all such political activity, indicating, among other things, the relations, interests and policies sought to be influenced and the means employed to achieve this purpose. If the registrant arranged, sponsored or delivered speeches, lectures or radio and TV broadcasts, give details as to dates, places of delivery, names of speakers and subject matter.

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13. In addition to the above described activities, if any, have you engaged in activity on your own behalf which benefits your foreign principal(s)? Yes ☐ No ☒

If yes, describe fully.

⁵ "Political activity," as defined in Section 1(o) of the Act, means any activity that the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting or changing the domestic or foreign policies of the United States or with reference to political or public interests, policies, or relations of a government, or of a foreign club or foreign political party.

IV - FINANCIAL INFORMATION

14. (a) **RECEIPTS-MONIES**

During this 6 month reporting period, have you received from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal, any contributions, income or money either as compensation or otherwise? Yes ☒ No ☐

If no, explain why.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies.⁶

| Date | From Whom | Purpose | Amount |
|---------------|-----------|---------|--------|
| See attached. | | | |

Total

(b) **RECEIPTS - FUNDRAISING CAMPAIGN**

During this 6 month reporting period, have you received, as part of a fundraising campaign⁷, any money on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☐ No ☒

If yes, have you filed an Exhibit D⁸ to your registration? Yes ☐ No ☐

If yes, indicate the date the Exhibit D was filed. Date _____

(c) **RECEIPTS-THINGS OF VALUE**

During this 6 month reporting period, have you received any thing of value⁹ other than money from any foreign principal named in Items 7, 8, or 9 of this statement, or from any other source, for or in the interests of any such foreign principal?

Yes ☐ No ☒

If yes, furnish the following information:

| Foreign Principal | Date Received | Thing of Value | Purpose |
|-------------------|---------------|----------------|---------|
|-------------------|---------------|----------------|---------|

^{6, 7} A registrant is required to file an Exhibit D if he collects or receives contributions, loans, moneys, or other things of value for a foreign principal, as part of a fundraising campaign. (See Rule 201(e)).

⁸ An Exhibit D, for which no printed form is provided, sets forth an account of money collected or received as a result of a fundraising campaign and transmitted for a foreign principal.

⁹ Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

15. (a) DISBURSEMENTS-MONIES

During this 6 month reporting period, have you

(1) disbursed or expended monies in connection with activity on behalf of any foreign principal named in Items 7, 8, or 9 of this statement? Yes ☒ No ☐

(2) transmitted monies to any such foreign principal? Yes ☐ No ☒

If no, explain in full detail why there were no disbursements made on behalf of any foreign principal.

If yes, set forth below in the required detail and separately for each foreign principal an account of such monies, including monies transmitted, if any, to each foreign principal.

| Date | To Whom | Purpose | Amount |
|---------------|---------|---------|--------|
| See attached. | | | |

Total

(b) DISBURSEMENTS-THINGS OF VALUE

During this 6 month reporting period, have you disposed of anything of value¹⁰ other than money in furtherance of or in connection with activities on behalf of any foreign principal named in Items 7, 8, or 9 of this statement?

Yes ☐No ☒

If yes, furnish the following information:

| Date | Recipient | Foreign Principal | Thing of Value | Purpose |
|------|-----------|-------------------|----------------|---------|
|------|-----------|-------------------|----------------|---------|

(c) DISBURSEMENTS-POLITICAL CONTRIBUTIONS

During this 6 month reporting period, have you from your own funds and on your own behalf either directly or through any other person, made any contributions of money or other things of value¹¹ in connection with an election to any political office, or in connection with any primary election, convention, or caucus held to select candidates for political office?

Yes ☐No ☒

If yes, furnish the following information:

| Date | Amount or Thing of Value | Political Organization or Candidate | Location of Event |
|------|--------------------------|-------------------------------------|-------------------|
|------|--------------------------|-------------------------------------|-------------------|

^{10, 11} Things of value include but are not limited to gifts, interest free loans, expense free travel, favored stock purchases, exclusive rights, favored treatment over competitors, "kickbacks," and the like.

V - INFORMATIONAL MATERIALS

16. (a) During this 6 month reporting period, did you prepare, disseminate or cause to be disseminated any informational materials?¹²
 Yes ☐ No ☒

If Yes, go to Item 17.

(b) If you answered No to Item 16(a), do you disseminate any material in connection with your registration?
 Yes ☐ No ☒

If Yes, please forward the materials disseminated during the six month period to the Registration Unit for review.

17. Identify each such foreign principal.

18. During this 6 month reporting period, has any foreign principal established a budget or allocated a specified sum of money to finance your activities in preparing or disseminating informational materials? Yes ☐ No ☒

If yes, identify each such foreign principal, specify amount, and indicate for what period of time.

19. During this 6 month reporting period, did your activities in preparing, disseminating or causing the dissemination of informational materials include the use of any of the following:

- | | | | |
|---|--|--|---|
| <input type="checkbox"/> Radio or TV broadcasts | <input type="checkbox"/> Magazine or newspaper | <input type="checkbox"/> Motion picture films | <input type="checkbox"/> Letters or telegrams |
| <input type="checkbox"/> Advertising campaigns | <input type="checkbox"/> Press releases | <input type="checkbox"/> Pamphlets or other publications | <input type="checkbox"/> Lectures or speeches |
| <input type="checkbox"/> Other (specify) _____ | | | |

Electronic Communications

- ☐ Email
- ☐ Website URL(s): _____
- ☐ Social media websites URL(s): _____
- ☐ Other (specify) _____

20. During this 6 month reporting period, did you disseminate or cause to be disseminated informational materials among any of the following groups:

- | | | |
|--|---|---|
| <input type="checkbox"/> Public officials | <input type="checkbox"/> Newspapers | <input type="checkbox"/> Libraries |
| <input type="checkbox"/> Legislators | <input type="checkbox"/> Editors | <input type="checkbox"/> Educational institutions |
| <input type="checkbox"/> Government agencies | <input type="checkbox"/> Civic groups or associations | <input type="checkbox"/> Nationality groups |
| <input type="checkbox"/> Other (specify) _____ | | |

21. What language was used in the informational materials:

- ☐ English ☐ Other (specify) _____

22. Did you file with the Registration Unit, U.S. Department of Justice a copy of each item of such informational materials disseminated or caused to be disseminated during this 6 month reporting period? Yes ☐ No ☐

23. Did you label each item of such informational materials with the statement required by Section 4(b) of the Act? Yes ☐ No ☐

¹² The term informational materials includes any oral, visual, graphic, written, or pictorial information or matter of any kind, including that published by means of advertising, books, periodicals, newspapers, lectures, broadcasts, motion pictures, or any means or instrumentality of interstate or foreign commerce or otherwise. Informational materials disseminated by an agent of a foreign principal as part of an activity in itself exempt from registration, or an activity which by itself would not require registration, need not be filed pursuant to Section 4(b) of the Act.

VI - EXECUTION

In accordance with 28 U.S.C. § 1746, the undersigned swear(s) or affirm(s) under penalty of perjury that he/she has (they have) read the information set forth in this registration statement and the attached exhibits and that he/she is (they are) familiar with the contents thereof and that such contents are in their entirety true and accurate to the best of his/her (their) knowledge and belief, except that the undersigned make(s) no representation as to truth or accuracy of the information contained in the attached Short Form Registration Statement(s), if any, insofar as such information is not within his/her (their) personal knowledge.

(Date of signature)

(Print or type name under each signature or provide electronic signature¹³)

November 27, 2019

/s/ Doug Schoen

eSigned

¹³ This statement shall be signed by the individual agent, if the registrant is an individual, or by a majority of those partners, officers, directors or persons performing similar functions, if the registrant is an organization, except that the organization can, by power of attorney, authorize one or more individuals to execute this statement on its behalf.

FARA Supplemental Statement – Addendum – 14. (a)
Reporting Period Ending October 31, 2019
Registrant: Doug Schoen
Registration Number: 6071

I. Summary of Income & Compensation Received for Entire Reporting Period

| DATE | FROM WHOM | PURPOSE | AMOUNT |
|--|------------------|--|----------------------|
| Total for entire reporting period | EastOne Group | Advisory/Consulting Services (Doug Schoen) | \$ 240,000.00 |
| Total for entire reporting period | EastOne Group | Advisory Services (FARA Lawyer/required legal filing) | \$ 1,165.00 |
| Total for entire reporting period | EastOne Group | Travel/Transportation and Expenses (For attendance of meetings and events) | \$ 82,483.15 |
| Total for entire reporting period | EastOne Group | International Communication (Phone Expenses) | \$ 324.57 |
| TOTAL INCOME & COMPENSATION RECEIVED FOR ENTIRE REPORTING PERIOD: | | | \$ 323,972.72 |

II. Itemization of Income & Compensation Received for Entire Reporting Period

(1) DATE: 10/4/2019 and 10/10/2019
FROM: EastOne Group

| PURPOSE | AMOUNT |
|--|---------------------|
| Advisory/Consulting Services – Doug Schoen | \$ 40,000.00 |
| Travel/Transportation & Expenses | \$ 9,186.98 |
| International Communication | \$ 43.53 |
| TOTAL RECEIVED: | \$ 49,230.51 |

(2) DATE: 9/12/2019 and 10/4/2019 [Invoice for services & expenses paid in two installments]
FROM: EastOne Group

| PURPOSE | AMOUNT |
|--|---------------------|
| Advisory/Consulting Services – Doug Schoen | \$ 40,000.00 |
| Travel/Transportation & Expenses | \$ 2,555.07 |
| International Communication | \$18.94 |
| TOTAL RECEIVED: | \$ 42,574.01 |

(3) DATE: 8/12/2019
FROM: EastOne Group

| PURPOSE | AMOUNT |
|--|---------------------|
| Advisory/Consulting Services – Doug Schoen | \$ 40,000.00 |
| Travel/Transportation & Expenses | \$ 2,210.12 |
| International Communication | \$ 164.85 |
| TOTAL RECEIVED: | \$ 42,374.97 |

(4) DATE: 7/11/2019 and 7/29/2019 [Invoice for services & expenses paid in two installments]
FROM: EastOne Group

| PURPOSE | AMOUNT |
|--|---------------------|
| Advisory/Consulting Services – Doug Schoen | \$ 40,000.00 |
| Travel/Transportation & Expenses | \$ 37,040.98 |
| FARA Lawyer/Required legal filing | \$ 1,165.00 |
| International Communication | \$ 35.39 |
| TOTAL RECEIVED: | \$ 78,241.37 |

(5) DATE: 6/11/2019 and 6/13/2019 [Invoice for services & expenses paid in two installments]
FROM:

| PURPOSE | AMOUNT |
|--|---------------------|
| Advisory/Consulting Services – Doug Schoen | \$ 40,000.00 |
| Travel/Transportation & Expenses | \$ 31,490.00 |
| International Communication | \$ 35.38 |
| TOTAL RECEIVED: | \$ 71,525.38 |

(6) DATE: 5/8/2019
FROM: EastOne Group

| PURPOSE | AMOUNT |
|--|------------------|
| Advisory/Consulting Services – Doug Schoen | \$ 40,000.00 |
| Travel/Transportation & Expenses | - |
| International Communication | \$26.48 |
| TOTAL RECEIVED: | 40,026.48 |

FARA Supplemental Statement – Addendum – 14. (a), 15. (a)
Reporting Period Ending October 31, 2019
Registrant: Doug Schoen
Registration Number: 6071

**I. Summary of Pass-Through Funds Received During Entire Reporting Period –
Familial Purposes**

An additional sum of money listed below was transmitted to registrant (Doug Schoen) for familial purposes, having nothing to do with registrant's representation of the foreign principal (Victor Pinchuk).

The funds received, as well as the date on which funds were received, are listed below. The purpose was for academic enrichment, study, and review of formal academic and colloquial English-language skills used in advanced academic study and examination for foreign principal's family.

No advocacy was done on behalf of the foreign principal, and no communication was made with any government official on receipt of these funds in particular. Additionally, no economic benefit was gained by registrant under the purpose of these funds.

In the interest of comprehensiveness, and on the advice of counsel, this payment is listed below:

| DATE | FROM WHOM | PURPOSE | AMOUNT |
|---|------------------|----------|--------------------|
| 5/10/2019 | Tregarth Limited | Tutoring | \$10,158.00 |
| TOTAL PASS-THROUGH FUNDS RECEIVED FOR ENTIRE REPORTING PERIOD: | | | \$10,158.00 |

FARA Supplemental Statement – Addendum – 15. (a)
Reporting Period Ending October 31, 2019
Registrant: Doug Schoen
Registration Number: 6071

**I. Summary of Pass-Through Disbursements Made During Reporting Period—
 Familial Purposes**

Of the disbursements described below, \$96,490.50 in pass-through funds were received prior to the beginning of the reporting period.

| DATE | RECIPIENT | PURPOSE | AMOUNT |
|--|-------------------------|----------------|----------------------|
| 7/20/2019 | Advantage Testing, Inc. | Tutoring | \$5,079.00 |
| 6/20/2019 | Advantage Testing, Inc. | Tutoring | \$48,245.25 |
| 6/20/2019 | Advantage Testing, Inc. | Tutoring | \$5,079.00 |
| 5/20/2019 | Advantage Testing, Inc. | Tutoring | \$48,245.25 |
| TOTAL PASS-THROUGH DISBURSEMENTS MADE DURING ENTIRE REPORTING PERIOD: | | | \$ 106,648.50 |